

Agenda

Item #8



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

To: Commissioners
From: Jonathan Wayne, Executive Director
Emma Burke, Candidate Registrar
Date: December 13, 2014
Re: Request for Waiver of Late-Filing Penalties by Bonnie Lewis Campaign

Bonnie Lewis was a traditionally financed candidate for the Maine House of Representatives in District 67. She was late filing two reports:

- Ms. Lewis was required to file an 11-day pre-general report by 11:59 p.m. on Friday night, October 24. Her treasurer, Lisa Day, filed the report one minute late at 12:00 a.m., due to internet connection problems that were subsequently acknowledged and remedied by Fairpoint Communications. The Commission staff recommends considering this report to be filed on time, because it falls within the statutory exception for *bona fide* efforts.
- During the period of October 22nd-November 3rd, all candidates were required to file 24-Hour Reports within one day of accepting a contribution or making an expenditure of \$1,000 or more. Ms. Lewis paid \$3,185 to Mach3Media on November 3, 2014, but the 24-Hour Report was filed one day late on November 5, 2014.

LEGAL REQUIREMENTS

Candidates are required to file a report on the 11th day before the date on which an election is held and must be complete for the filing period as of the 14th day before that date. (21-A M.R.S.A. § 1017(3-A)(B)) Also, candidates are required to report any single contribution received or single expenditure made of \$1,000 or more during the 13 days before an election within 24 hours of that transaction. (21-A M.R.S.A. § 1017(3-A)(C))

If the candidate is late in filing a report, the amount of the penalty is set by a formula which takes into consideration a percentage of the total contributions or expenditures, whichever is greater, the number of prior violations within a two-year period, and the number of days the report is late. (21-A M.R.S.A. § 1020-A)(4-A))

DISCUSSION

11-Day Pre-General Report – filed one minute late at midnight on October 25

Ms. Lewis' treasurer, Lisa Day, logged on to the e-filing site at 11:02 p.m. on October 24, 2014 – the first and only time that a member of the campaign logged on to the e-filing site to enter information for the 11-Day Pre-General Report. Ms. Day had 21 transactions to enter – 18 contributions and 3 expenditures. The 11-Day Pre-General Report was subsequently filed at 12:00 a.m. on October 25, 2014 – one minute late.

Based on the statutory formula for calculating late-filed report penalties, the preliminary penalty for the late-filed 11-Day Pre-General Report amount is \$84.75:

Report	Date	Financial Activity	Penalty Rate	Days Late	Preliminary Penalty
11-Day Pre-General Report	10/25/2014	\$2,825	3%	1	\$84.75

(The penalty rate is 3%, because this is the second late report this election year. Ms. Lewis was also late filing the report due May 30, 2014.) The Bonnie Lewis campaign asks that the Commission waive the preliminary penalty because the report was filed late due to problems with Ms. Day's internet connection. Ms. Day's internet provider is Fairpoint Communications. She states that she attempted to file the report on time but her connection would not allow her to do so before midnight. Fairpoint technicians confirmed with Ms. Day that the wiring that services her house had issues, and the problem has since been fixed.

24-Hour Report

The Bonnie Lewis campaign paid \$3,185 to Mach3Media for mailers on November 3, 2014, triggering a 24-Hour Report due on November 4, 2014. Ms. Day logged on and entered the expenditure into e-filing on November 3, 2014 but failed to file the report. Ms. Day was contacted by Ms. Lewis' Candidate Registrar, Emma Burke, on November 5, 2014, to inform the campaign that it had a past due 24-Hour Report. Ms. Burke received permission to file the 24-Hour Report for the campaign, and did so.

Based on the statutory formula for calculating late-filed report penalties, the Commission staff mailed its routine penalty correspondence notifying the candidate that the preliminary penalty was \$159.25 (the calculation is shown in the following table). The Commission staff recommends using \$95.55 as the preliminary penalty (shown in the second row), because we recommend viewing the 11-Day Pre-General Report as on time.

Report	Date	Financial Activity	Penalty Rate	Days Late	Preliminary Penalty
24-Hour Report	11/05/2014	\$3,185	5%	1	\$159.25
<i>24-Hour Report</i>	<i>11/05/2014</i>	<i>\$3,185</i>	<i>3%</i>	<i>1</i>	<i>\$95.55</i>

The Bonnie Lewis campaign asks that the Commission waive the preliminary penalty because Ms. Day was unaware that there was second step in filing a 24-Hour Report, and therefore failed to file the report, even though the expenditure transaction had been entered into e-filing on time. Ms. Day also states that some of the design updates completed on the e-filing website throughout the course of the campaign caused her some confusion in how to use the website.

STAFF RECOMMENDATION

11-Day Pre-General Report

In the case of the Bonnie Lewis campaign's late-filed 11-Day Pre-General Report, the Commission staff understands that Ms. Day made a good faith effort to file on time and was hampered by her intermittent and problematic internet connection via Fairpoint.

While we wish the campaign had not waited until the last hour to file the report, the report was only one minute late and Ms. Day is offering a specific explanation that this was due to faulty wiring subsequently fixed by Fairpoint Communications. The Commission staff recommends accepting the explanation and considering the report on time.

24-Hour Report

Essentially, the process of filing a 24-Hour Report is similar to filing a regularly scheduled report:

1. Candidates or treasurers use data entry screens enter the contributions or expenditures included in the report.
2. Then, they click on the View/File Reports link, which takes the filer to the "Filing Administration" page. The user can see the 24-Hour Report added to a table of Reports Due. The filer then clicks on the View/File link next to the 24-Hour Report to file the report.

The treasurer, Lisa Day, has filed multiple reports for the Bonnie Lewis campaign in 2014. As of November 3, 2014, she was not inexperienced as a user and should have understood this feature of the Commission's efilg system.

As the treasurer for the campaign, Ms. Day also received two communications outlining the process of filing a 24-Hour Report:

1. Ms. Day was sent a reminder brochure for the 11-Day Pre-General Report (pages attached), which included a section on 24-Hour Reports: What, When and How? This section explained that one necessary step was selecting the 24-Hour Report and clicking the File Report button.
2. Similar language was included in an October 22, 2014 reminder email (attached) from Assistant Director Paul Lavin that was sent to all candidates and their treasurers. A snippet of that email follows:

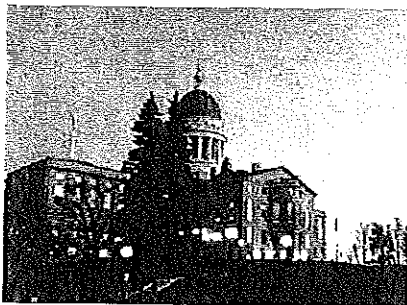
How to file 24-Hour Reports:

- In the e-filing system:
 1. Enter the contribution or expenditure in as you would for any contribution or expenditure.
 2. The system will alert you that you will have to file a 24-Hour Report and add the report to your filing schedule.
 3. Click the View/File link next to the report name and click the File Report button.

The Commission staff recommends starting with the preliminary penalty of \$95.55. We recognize that this amount may seem disproportionately high to a late filing of a report of a single transaction that was rectified the next day for a filer that attempted to file the report on time.

Although some 80+ candidates did successfully file 24-Hour Reports on time, the Commission staff does recognize that some of the online directions on the efilng website concerning 24-Hour Reports displayed to filers could be clearer. We will be reviewing these online directions for potential improvements in 2015. Given all of the circumstances, if you believe that a reduction is appropriate, we recommend reducing the preliminary penalty by 50%, and assessing a penalty of \$47.77.

Thank you for your consideration of this memo.



Commission on Governmental Ethics and Election Practices
135 State House Station, Augusta, Maine 04333
(207)287-4179 | Website: www.maine.gov/ethics

10/15/2014

11-DAY PRE-GENERAL FILING REMINDER TRADITIONALLY FINANCED CANDIDATES

Campaign Finance Report Due October 24, 2014

REPORT	DEADLINE	REPORT PERIOD
11-Day Pre-General	Friday, October 24 by 11:59 p.m.	Sept. 17 through Oct. 21
24-Hour Report	Within 24 hours of: (1) receiving a contribution of \$1,000 or more or; (2) making a single expenditure of \$1,000 or more. If the deadline falls on a weekend, the report must still be filed by the deadline.	Oct. 22 through Nov. 3

All candidates are required to file campaign finance reports electronically on the Commission's website except candidates who submitted an e-filing waiver. Go to www.maine.gov/ethics and click "Filer Login." Electronic filing instructions are on the Commission's website or you can request a copy be mailed to you.

For candidates filing on paper, the Commission's fax machine operates 24 hours a day. However, staff will be available only until 5:00 p.m. on October 24 to confirm receipt of a faxed report and to offer assistance and answer questions about filing reports.

Filing Tip: Get Started Early

You do not have to wait until the filing deadline to enter expenditures into the e-filing system. You can enter them on a weekly or daily basis and avoid a last minute rush. The system will store the information as you enter and save it. Nothing will be available to the public until you actually file the report by clicking the "File Report" button.

You don't have to wait until October 24 to file the report. If you have finished entering all your expenditures, you can file the report anytime before 11:59 p.m. on October 24.

Filing Tip: Reporting Reimbursements Correctly

When a candidate, campaign worker or volunteer uses their own personal funds (e.g., uses a personal credit card) to pay for goods or services for the campaign, the campaign has to reimburse that person.

To report a reimbursement to a candidate, campaign worker or volunteer, the name of the payee is the **name of the vendor** from which the goods/services were obtained, not the person who was reimbursed.

For example, a candidate uses her own credit card to pay Staples for printing flyers. To report the reimbursement correctly, enter Staples as the payee, the amount of the purchase and the date the purchase was made (not the date of the reimbursement). In the explanation for the expenditure, enter "Reimbursed candidate on October 21 for cost of printing flyers."

Inside this issue:

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COMMISSION PHONE NUMBERS

**Candidate Registrars Are
Always Available to Help You**

Ben Bachelder 287-4709

Emma Burke 287-4727

Beth Hudson 287-3651

Main Line 287-4179

24-Hour Reports: What, When and How?

What is a 24-Hour Report:

- If a candidate receives a contribution or loan of \$1,000 or more, or makes a single expenditure or obligation of \$1,000 or more from October 22 to November 3, the candidate must file a 24-Hour Report (see calendar below).
- Overhead costs, such as rent, taxes, utilities and some salary payments are not required to be reported in a 24-Hour Report.

When to file 24-Hour Reports:

- Within 24 hours of receiving the contribution or making the expenditure or incurring the obligation (see explanation of expenditure in the side bar).
- A report must be filed on a weekend if that is when it is due – you cannot wait until the next business day.
- Contributions received and expenditures made on the day before an election must be reported on election day.

How to file 24-Hour Reports:

- In the e-filing system:
 1. Enter the contribution or expenditure in as you would for any contribution or expenditure.
 2. The system will alert you that you will have to file a 24-Hour Report and add the report to your filing schedule.
 3. Click the View/File link next to the report name and click the File Report button.
- Paper filers: The report can be filed in person or by fax.

2014 GENERAL ELECTION 24-HOUR REPORT PERIOD						
OCTOBER 22, 2014 - NOVEMBER 4, 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
Oct 19	20	21	Starts on 22	23	24	25
26	27	28	29	30	31	1
2	Ends on 3	Election 4	5	6	7	8

Treasurer's Tip:

Campaign Records – Bank Statements & Cancelled Checks

Maine Election Law requires campaigns to obtain and keep certain records to document their financial activity. Records concerning the campaign's bank account are an important part of these records.

If the campaign uses electronic banking and receives only electronic copies of records, the campaign must make sure that they print monthly statements and copies of cancelled checks or request copies from the financial institution. Some institutions make electronic records available for a limited time. Know your institution's policy and plan accordingly.

Only the candidate and the candidate's spouse/domestic partner may make contributions or loans to the candidate that exceed the \$375 contribution limit.

Expenditure Means... More Than You Think!

An expenditure occurs not only when a payment is made but also when the following happens:

- placement of an order;
- signing of a contract;
- promise or agreement (including an implied one) that payment will be made; and
- delivery of a good or service by a vendor.

A 24-Hour Report must be filed within 24 hours when any of the above occurs.

Campaign Signs

Political signs are allowed to remain within the public right of way for up to one week after an election.

It is against the law to unlawfully remove, deface or disturb political signs.

The Commission does not have jurisdiction over the *placement or removal* of signs. Please contact Chip Kelley at the Department of Transportation about sign placement (207-624-3332) or your local law enforcement agency about unlawful removal of campaign signs.

[Home](#)[Enter / Amend Transactions](#)[View / File Reports](#)[Administration](#)[Public Site](#)[Log Off](#)

Filing Administration

| v 20141124.1 UAT

Welcome back: JONATHAN WAYNE on behalf of BONNIET LEWIS

Last Login: Oct 17 2014 10:47AM

[Help with this page](#)[My Admin Homepage](#)Step-by-Step — How to File Reports

Candidate: BONNIET LEWIS ID: 7856

Reports Due

Report	Period Begin	Period End	Due Date	Status	Action
42-DAY PRE-GENERAL	7/16/2014	9/16/2014	9/23/2014	Past Due	View/File Check-In
11-DAY PRE-GENERAL	9/17/2014	10/21/2014	10/24/2014	Past Due	
24 Hour Report of Major Contributions and Expenditures	10/29/2014	10/29/2014	10/30/2014	Past Due	View/File Check-In
42-DAY POST-GENERAL	10/22/2014	12/9/2014	12/16/2014	Not Filed	

Filing History

Report	Period Begin	Period End	Due Date	Filed	Amended	View	Amend Report	Correct	Audit
42-DAY POST-PRIMARY	5/28/2014	7/15/2014	7/22/2014	7/22/2014	No	View	Amend		Process
11-DAY PRE-PRIMARY	1/1/2014	5/27/2014	5/30/2014	6/3/2014	No	View	Amend		Process
Candidate Registration				4/7/2014	No	View	Amend	Correct	

In order to view the reporting forms, you will need to have Adobe Acrobat Reader loaded on your computer.

You can download it for free by clicking on the Get Acrobat Reader image below.

Wayne, Jonathan

From: Lavin, Paul
Sent: Wednesday, October 22, 2014 1:38 PM
To: Lavin, Paul
Cc: Burke, Emma; Hudson, Elizabeth; Wayne, Jonathan; Bachelder, Benjamin; Phillips, Cyndi
Subject: 24-Hour Reporting Period Begins Today

Please be aware that the 24-hour reporting period for contributions and expenditures of \$1,000 or more begins today, October 22, 2014.

What is a 24-Hour Report:

- If a candidate receives a contribution or loan of \$1,000 or more, or makes a single expenditure or obligation of \$1,000 or more from October 22 to November 3, the candidate must file a 24-Hour Report.
- Overhead costs, such as rent, taxes, utilities and some salary payments are not required to be reported in a 24-Hour Report.

When to file 24-Hour Reports:

- Within 24 hours of receiving the contribution or making the expenditure or incurring the obligation.
- A report must be filed on a weekend if that is when it is due – you cannot wait until the next business day.
- Contributions received and expenditures made on the day before an election must be reported on election day.

How to file 24-Hour Reports:

- In the e-filing system:
 1. Enter the contribution or expenditure in as you would for any contribution or expenditure.
 2. The system will alert you that you will have to file a 24-Hour Report and add the report to your filing schedule.
 3. Click the View/File link next to the report name and click the File Report button.
- Paper filers: The report can be filed in person or by fax.

Maine Ethics Commission Staff
Office: 45 Memorial Circle
Mailing address: 135 State House Station
Augusta, Maine 04333-0135
207-287-4179
ethics@maine.gov

Lisa V. Day

157 Milt Brown Road • Standish, Maine 04084 • lisa.veleff.day@gmail.com • 207.899.5667

November 18, 2014

Maine Ethics Commission
135 State House Station
Augusta, Maine 04333

Re: Campaign of Bonnie Titcomb Lewis for State House District #67

Dear Commissioners,

As treasurer for the campaign on Bonnie Titcomb Lewis for State House District 67, I would like to request waivers for two penalties assessed on her campaign for the following late reports:

1) The 11-Day Pre-General Report, which was filed exactly one minute late because of connection problems with my internet service provider, Fairpoint. A visit by Fairpoint technicians to my home uncovered issues with the wiring on my street, which have been remedied. I had pressed the button to send the report multiple times, but the in-and-out connection delayed my progress.

2) The 24 Hour Report of Major Contributions and Expenditures, Due Date: 11/04/2014, which had been entered into the system as prompted and in the appropriate timeframe, but because I had not entered one before, I did not know that there was one additional step (which required going to a different part of the online system) that would have filed it on time. I was shocked when I got the message from the elections office telling me it had not been filed and immediately called to find out what had happened, because I was so certain I had filed it. The system automatically pulled me into another section of the website when I entered the amount of the contribution, so I believed that once I saved it, that was the final step.

It appeared to me that the online system had some internal changes during the course of this election, making things a bit confusing.

I would very much like to have the opportunity to discuss these situations with the Commission, at their convenience.

Sincerely,



Lisa V. Day



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

November 5, 2014

Ms. Bonnie Titcomb Lewis
PO Box 262
Raymond, ME 04071

Re: Notice of Violation and Penalty for Late-Filed 24-Hour Report

Dear Ms. Lewis:

You were required to file a 24-Hour Report on 11/04/2014 by 11:59 p.m., but the report was not filed until 11/05/2014. Under the Commission's statutes (21-A M.R.S.A. § 1020-A(4-A)) the late filing of a report triggers an enforcement process. The Commission staff has made a preliminary finding of violation and determined that the preliminary penalty for filing the report late is \$159.25. Please see the next page for the penalty calculation.

You may request that the Commission waive the penalty in whole or in part or find that there was no violation. The request must be made within 14 calendar days of your receipt of this notice. The request must be in writing and contain a full explanation of the reasons the report was filed late. Upon receiving your request, the Commission staff will schedule your request to be heard at an upcoming Commission meeting. You or your designee will have an opportunity to be heard at the meeting or you may submit a sworn statement to the Commission explaining the mitigating circumstances for its consideration.

The Commission may waive or reduce the penalty or find that there was no violation if it determines that the report was late due to mitigating circumstances, which are defined as (1) a valid emergency; (2) an error made by the Commission staff; or (3) relevant evidence that a bona fide effort was made to file the report on time. The Commission may also consider whether the penalty is disproportionate to the level of experience of the person filing the report or the harm to the public caused by the late disclosure.

The staff requests that you pay the preliminary penalty within 14 days of the date of your receipt of this notice if you do not intend to request a waiver. Please use the payment statement on the next page when paying by mail. You may also make a payment online at www.maine.gov/ethics by clicking the "Penalty Payment" link. Please contact the Commission staff at (207) 287-4179 or ethics@maine.gov if you have any questions.

Sincerely,

Emma Burke
Candidate Registrar

cc: Ms. Lisa Day

OFFICE LOCATED AT: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE
WEBSITE: WWW.MAINE.GOV/ETHICS

PHONE: (207) 287-4179

FAX: (207) 287-6775

PENALTY CALCULATION

The penalty for filing a campaign finance report late is based on a percentage of the total contributions or expenditures for the reporting period, whichever is greater, multiplied by the number of days late. The percentage is based on your history of past violations: 1% for the first violation, 3% for the second violation, and 5% for the third and each subsequent violation. Violations for late-filed reports accumulate in the two-year period beginning on January 1st of each even-numbered year. A penalty accrues daily beginning on the day following the filing due date. Any penalty of less than \$10 is automatically waived.

HOW YOUR PENALTY WAS CALCULATED

Filer: Bonnie Lewis			
Late-Filed Report: 24-Hour Report			
Contributions	\$0	Penalty Base Amount	\$3,185
Expenditures	\$3,185	Percentage	5%
Due Date	11/04/2014	Daily Accrual Rate	\$159.25
Date Filed	11/05/2014	Days Late	1
Previous Violations	2	Your Total Penalty	\$159.25

PAYMENT STATEMENT AND PAYMENT OPTIONS

From: Bonnie Lewis

Penalty Amount: \$159.25

Penalty ID: N/A

Amount Enclosed: _____

Check/M.O. #: _____

BY MAIL: Enclose this payment statement with your payment so that it will be properly accounted for.

Please make check or money order payable to: Treasurer, State of Maine

Mail to: Maine Ethics Commission
135 State House Station
Augusta, Maine 04333-0135

ONLINE: Go to www.maine.gov/online/ethics/penalties. Please include the penalty ID so that your payment will be properly accounted for.



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

October 27, 2014

Ms. Bonnie Lewis
PO Box 262
Raymond, ME 04071

Re: Notice of Violation and Penalty for Late-Filed 11-Day Pre-General Report

Dear Ms. Lewis:

You were required to file the 11-Day Pre-General Report on 10/24/2014 by 11:59 p.m., but the report was not filed until 10/25/2014. Under the Commission's statutes (21-A M.R.S.A. § 1020-A(4-A)) the late filing of a report triggers an enforcement process. The Commission staff has made a preliminary finding of violation and determined that the preliminary penalty for filing the report late is \$84.75. Please see the next page for the penalty calculation.

You may request that the Commission waive the penalty in whole or in part or find that there was no violation. The request must be made within 14 calendar days of your receipt of this notice. The request must be in writing and contain a full explanation of the reasons the report was filed late. Upon receiving your request, the Commission staff will schedule your request to be heard at an upcoming Commission meeting. You or your designee will have an opportunity to be heard at the meeting or you may submit a sworn statement to the Commission explaining the mitigating circumstances for its consideration.

The Commission may waive or reduce the penalty or find that there was no violation if it determines that the report was late due to mitigating circumstances, which are defined as (1) a valid emergency; (2) an error made by the Commission staff; or (3) relevant evidence that a bona fide effort was made to file the report on time. The Commission may also consider whether the penalty is disproportionate to the level of experience of the person filing the report or the harm to the public caused by the late disclosure.

The staff requests that you pay the preliminary penalty within 14 days of the date of your receipt of this notice if you do not intend to request a waiver. Please use the payment statement on the next page when paying by mail. You may also make a payment online at www.maine.gov/ethics by clicking the "Penalty Payment" link. Please contact the Commission staff at (207) 287-4179 or ethics@maine.gov if you have any questions.

Sincerely,

Emma Burke
Candidate Registrar

cc: Ms. Lisa Day OFFICE LOCATED AT: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE
WEBSITE: WWW.MAINE.GOV/ETHICS

PHONE: (207) 287-4179

FAX: (207) 287-6775



Commission on Governmental Ethics and Election Practices
Mail: 135 State House Station, Augusta, Maine 04333
Office: 45 Memorial Circle, Augusta, Maine
Website: www.maine.gov/ethics
Phone: 207-287-4179
Fax: 207-287-6775

24-HOUR REPORT OF CONTRIBUTIONS AND EXPENDITURES

2014 CAMPAIGN YEAR

COMMITTEE		TREASURER	
BONNIE T LEWIS PO BOX 262 RAYMOND, ME 04071 EMAIL: BONNIETLEWIS@YAHOO.COM		LISA V DAY 157 MILT BROWN RD STANDISH, ME 04084 PHONE: (207) 899-5667 EMAIL: LISA.VELEFF.DAY@GMAIL.COM	
REPORT	DUE DATE	REPORTING PERIOD	
24 Hour Report of Major Contributions and Expenditures	11/04/2014	11/03/2014 - 11/03/2014	

FINANCIAL ACTIVITY SUMMARY

CONTRIBUTIONS AND EXPENDITURES	
1. TOTAL CONTRIBUTIONS / LOANS	\$0.00
2. TOTAL EXPENDITURES	\$3,185.00
3. TOTAL DEBTS	\$0.00

I, EMMA BURKE, CERTIFY THAT THE INFORMATION CONTAINED IN THIS REPORT IS TRUE, ACCURATE, AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

REPORT FILED BY: EMMA BURKE
REPORT FILED ON: 11/05/2014
LAST MODIFIED: 11/05/2014
PRINTED: 11/19/2014
COMMITTEE ID: 7856

24-HOUR EXPENDITURE AND PAYEE INFORMATION

EXPENDITURE TYPES				
CNS	Campaign consultants	POL	Polling and survey research	
CON	Contribution to other candidate, party, committee	POS	Postage for U.S. Mail and mail box fees	
EQP	Equipment (office machines, furniture, cell phones, etc.)	PRO	Other professional services	
FND	Fundraising events	PRT	Print media ads only (newspapers, magazines, etc.)	
FOD	Food for campaign events, volunteers	RAD	Radio ads, production costs	
LIT	Print and graphics (flyers, signs, palmcards, t-shirts, etc.)	SAL	Campaign workers' salaries and personnel costs	
MHS	Mail house (all services purchased)	TRV	Travel (fuel, mileage, lodging, etc.)	
OFF	Office rent, utilities, phone and internet services, supplies	TVN	TV or cable ads, production costs	
OTH	Other	WEB	Website design, registration, hosting, maintenance, etc.	
PHO	Phone banks, automated telephone calls			

DATE OF EXPENDITURE	PAYEE	REMARK	TYPE	AMOUNT
11/3/2014	MACH3MEDIA 126 FRANCES STREET PORTLAND, ME 04102	BROCHURES, PALMCARDS, SIGNS	LIT	\$3,185.00
TOTAL EXPENDITURES FOR CANDIDATE:				\$3,185.00



Commission on Governmental Ethics and Election Practices
Mail: 135 State House Station, Augusta, Maine 04333
Office: 45 Memorial Circle, Augusta, Maine
Website: www.maine.gov/ethics
Phone: 207-287-4179
Fax: 207-287-6775

2014 CAMPAIGN FINANCE REPORT

FOR PRIVATELY FINANCED CANDIDATES

COMMITTEE		TREASURER	
BONNIE T LEWIS PO BOX 262 RAYMOND, ME 04071 EMAIL: BONNIETLEWIS@YAHOO.COM		LISA V DAY 157 MILT BROWN RD STANDISH, ME 04084 PHONE: (207) 899-5667 EMAIL: LISA.VELEFF.DAY@GMAIL.COM	
REPORT	DUE DATE	REPORTING PERIOD	
11-DAY PRE-GENERAL	10/24/2014	09/17/2014 - 10/21/2014	

FINANCIAL ACTIVITY SUMMARY

RECEIPTS	TOTAL FOR THIS PERIOD	TOTAL FOR CAMPAIGN
1. CASH CONTRIBUTIONS FOR THE PRIMARY ELECTION (SCHEDULE A)	\$0.00	\$0.00
1A. CASH CONTRIBUTIONS FOR THE GENERAL ELECTION(SCHEDULE A) <i>For party candidates, general election contributions will only appear on this line after the primary.</i>	\$2,825.00	\$4,275.00
1B. CASH CONTRIBUTIONS FOR THE GENERAL ELECTION RAISED PRIOR TO PRIMARY (Not shown on Schedule A of this report)		\$0.00
2. OTHER CASH RECEIPTS (INTEREST, ETC)	\$0.00	\$0.00
3. LOANS (SCHEDULE C)	\$0.00	\$0.00
4. TOTAL RECEIPTS	\$2,825.00	\$4,275.00
EXPENDITURES		
5. EXPENDITURES (SCHEDULE B)	\$242.42	\$870.80
6. LOAN REPAYMENTS (SCHEDULE C)	\$0.00	\$0.00
7. TOTAL PAYMENTS	\$242.42	\$870.80
CASH SUMMARY		
8. CASH BALANCE AT BEGINNING OF PERIOD	\$821.62	
9. PLUS TOTAL RECEIPTS THIS PERIOD (LINE 4)	\$2,825.00	
10. MINUS TOTAL PAYMENTS THIS PERIOD (LINE 8)	\$242.42	
11. CASH BALANCE AT END OF PERIOD	\$3,404.20	
OTHER ACTIVITY		
12. IN-KIND CONTRIBUTIONS (SCHEDULE A-1)	\$0.00	\$0.00
13. TOTAL LOAN BALANCE AT END OF PERIOD (SCHEDULE C)	\$0.00	
14. TOTAL UNPAID DEBTS AT END OF PERIOD (SCHEDULE D)	\$0.00	
FOR PARTY CANDIDATES ONLY: CASH CONTRIBUTIONS FOR THE GENERAL ELECTION RAISED PRIOR TO PRIMARY ELECTION (INCLUDED IN LINE 1A AFTER PRIMARY ELECTION)		

I, LISA V DAY, CERTIFY THAT THE INFORMATION CONTAINED IN THIS REPORT IS TRUE, ACCURATE, AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

REPORT FILED BY: LISA V DAY
REPORT FILED ON: 10/25/2014
LAST MODIFIED: 10/25/2014
PRINTED: 11/19/2014
COMMITTEE ID: 7856

SCHEDULE A CASH CONTRIBUTIONS

- For contributors who gave more than \$50, the names, address, occupation, and employer must be reported. If "Information requested" is listed instead of occupation and employer, the candidate is waiting to receive that information.
- Cash contributions of \$50 or less can be added together and reported as a lump sum.
- Contributor Types

1 = Individual

2 = Candidate/ Spouse/ Domestic Partner

3 = Commercial Source

4 = Nonprofit Organization

5 = Political Action Committee

6 = Political Party Committee

7 = Ballot Question Committee

8 = Other Candidate/ Candidate Committee

9 = Candidate / Candidate Committee

10 = General Treasury Transfer

11 = Transfer from Previous Campaign

12 = Contributors giving \$50 or less

13 = Contributors giving \$100 or less

14 = Contributors giving \$200 or less

15 = MCEA Payment

16 = Financial Institution

DATE RECEIVED	CONTRIBUTOR	OCCUPATION AND EMPLOYER	TYPE	ELECTION	AMOUNT
9/24/2014	THOMAS ALLEN 17 FAIRMOUNT ST PORTLAND, ME 04103	US GOVERNMENT DESCRIPTION: Government/Civil CONTRIBUTION	1	General	\$150.00
9/24/2014	GERALDINE CANNING 8 ELLIS AVE AUGUSTA, ME 04330	PINE STATE VENDING DESCRIPTION: General Business CONTRIBUTION	1	General	\$100.00
9/24/2014	JOSEPH FOLEY 72 WAITES LANDING RD FALMOUTH, ME 04105	UNUM DESCRIPTION: Insurance Industry DONATION	1	General	\$50.00
9/24/2014	ROBERT HIRSHON 1015 OLIVIA AVENUE ANN ARBOR, MI 48104	RETIRED DESCRIPTION: Retired CONTRIBUTION	1	General	\$100.00
9/24/2014	MAINE EDUCATION ASSOCIATION PAC 35 COMMUNITY DR. AUGUSTA, ME 04330		5	General	\$375.00
9/24/2014	MAINE STATE EMPLOYEES ASSOCIATION - PASER PO BOX 1072 AUGUSTA, ME 04332		5	General	\$100.00
9/24/2014	JANET MITCHELL 13 LLOYD ROAD WATERVILLE, ME 04901	RETIRED DESCRIPTION: Retired CONTRIBUTION	1	General	\$25.00
9/24/2014	JOHN MITCHELL 14 WEST STREET WATERVILLE, ME 04901	RETIRED DESCRIPTION: Retired CONTRIBUTION	1	General	\$25.00

9/24/2014	HAROLD PACHIOS 882 SHORE ROAD CAPE ELIZABETH, ME 04107	PRETI FLAHERTY DESCRIPTION: Attorney/Legal CONTRIBUTION	1	General	\$100.00
9/24/2014	ROSALIE THOMAS 1260 WINDWARD DR OSPREY, FL 34229	RETIRED DESCRIPTION: Retired CONTRIBUTION	1	General	\$100.00
9/30/2014	SEVERIN BELIVEAU 3 LITCHFIELD RD HALLOWELL, ME 04347	PRETI FLAHERTY DESCRIPTION: Attorney/Legal CONTRIBUTION	1	General	\$100.00
9/30/2014	RAYNOLD GAUVIN 749 PULCIFIER RD MAPLETON, ME 04757	RETIRED DESCRIPTION: Retired CONTRIBUTION	1	General	\$300.00
10/7/2014	JUSTIN ALFOND 143 VAUGHAN STREET PORTLAND, ME 04102	MAINE STATE SENATE DESCRIPTION: Government/Civil CONTRIBUTION	1	General	\$300.00
10/7/2014	WILLIAM MITCHELL 28 CLEARVIEW AVE WATERVILLE, ME 04901	GHM INSURANCE DESCRIPTION: Insurance Industry CONTRIBUTION	1	General	\$100.00
10/7/2014	SHAWN MOODY 5 ELKINS RD GORHAM, ME 04038	MOODY COLLISION DESCRIPTION: Automotive Industry CONTRIBUTION	1	General	\$100.00
10/7/2014	JOAN PORTA PO BOX 160 SOUTH CASCO, ME 04077	MIGIS LODGE DESCRIPTION: General Business CONTRIBUTION	1	General	\$375.00
10/7/2014	TIM PORTA PO BOX 160 SOUTH CASCO, ME 04077	MIGIS LODGE DESCRIPTION: General Business CONTRIBUTION	1	General	\$375.00
10/7/2014	CECILIA WERNAU 273 EDES FALLS NAPLES, ME 04065	INFO REQUESTED	1	General	\$50.00
TOTAL CASH CONTRIBUTIONS FOR PRIMARY					\$0.00
TOTAL CASH CONTRIBUTIONS FOR GENERAL					\$2,825.00

**SCHEDULE B
EXPENDITURES**

EXPENDITURE TYPES				
CNS	Campaign consultants	POL	Polling and survey research	
CON	Contribution to other candidate, party, committee	POS	Postage for U.S. Mail and mail box fees	
EQP	Equipment (office machines, furniture, cell phones, etc.)	PRO	Other professional services	
FND	Fundraising events	PRT	Print media ads only (newspapers, magazines, etc.)	
FOD	Food for campaign events, volunteers	RAD	Radio ads, production costs	
LIT	Print and graphics (flyers, signs, palmcards, t-shirts, etc.)	SAL	Campaign workers' salaries and personnel costs	
MHS	Mail house (all services purchased)	TRV	Travel (fuel, mileage, lodging, etc.)	
OFF	Office rent, utilities, phone and internet services, supplies	TVN	TV or cable ads, production costs	
OTH	Other	WEB	Website design, registration, hosting, maintenance, etc.	
PHO	Phone banks, automated telephone calls			
DATE OF EXPENDITURE	PAYEE	REMARK	TYPE	AMOUNT
9/18/2014	GAZEBO TEES 223 ROOSEVELT TRAIL CASCO, ME 04015	T-SHIRTS	LIT	\$192.01
9/22/2014	J D VARIETY 796 NARAGANSETT TRAIL BUXTON, ME 04093	FUEL FOR CANVASSING	TRV	\$15.01
9/24/2014	STAPLES 770 ROOSEVELT TRAIL NORTH WINDHAM, ME 04062	PAPER, ENVELOPES, PENS	OFF	\$35.40
TOTAL EXPENDITURES FOR CANDIDATE:				\$242.42

Maine Revised Statutes
Title 21-A: ELECTIONS
Chapter 13: CAMPAIGN REPORTS AND FINANCES

§1020-A. FAILURE TO FILE ON TIME

1. Registration. A candidate that fails to register the name of a candidate, treasurer or political committee with the commission within the time allowed by section 1013-A, subsection 1 may be assessed a forfeiture of \$10. The commission shall determine whether a registration satisfies the requirements for timely filing under section 1013-A, subsection 1.

[1995, c. 483, §15 (NEW) .]

2. Campaign finance reports. A campaign finance report is not timely filed unless a properly signed or electronically submitted copy of the report, substantially conforming to the disclosure requirements of this subchapter, is received by the commission by 11:59 p.m. on the date it is due. Except as provided in subsection 7, the commission shall determine whether a report satisfies the requirements for timely filing. The commission may waive a penalty in whole or in part if the commission determines that the penalty is disproportionate to the size of the candidate's campaign, the level of experience of the candidate, treasurer or campaign staff or the harm suffered by the public from the late disclosure. The commission may waive the penalty in whole or in part if the commission determines the failure to file a timely report was due to mitigating circumstances. For purposes of this section, "mitigating circumstances" means:

A. A valid emergency determined by the commission, in the interest of the sound administration of justice, to warrant the waiver of the penalty in whole or in part; [1999, c. 729, §5 (AMD) .]

B. An error by the commission staff; [1999, c. 729, §5 (AMD) .]

C. Failure to receive notice of the filing deadline; or [1999, c. 729, §5 (AMD) .]

D. Other circumstances determined by the commission that warrant mitigation of the penalty, based upon relevant evidence presented that a bona fide effort was made to file the report in accordance with the statutory requirements, including, but not limited to, unexplained delays in postal service or interruptions in Internet service. [2009, c. 190, Pt. A, §13 (AMD) .]

[2009, c. 190, Pt. A, §13 (AMD) .]

3. Municipal campaign finance reports. Municipal campaign finance reports must be filed, subject to all the provisions of this subchapter, with the municipal clerk on forms prescribed by the Commission on Governmental Ethics and Election Practices. The municipal clerk shall send any notice of lateness required by subsection 6 and shall notify the commission of any late reports subject to a penalty.

[2011, c. 389, §22 (AMD); 2011, c. 389, §62 (AFF) .]

4. Basis for penalties.

[2001, c. 470, §7 (AMD); T. 21-A, §1020-A, sub-§4 (RP) .]

4-A. Basis for penalties. The penalty for late filing of a report required under this subchapter is a percentage of the total contributions or expenditures for the filing period, whichever is greater, multiplied by the number of calendar days late, as follows:

A. For the first violation, 1%; [2001, c. 714, Pt. PP, §1 (NEW); 2001, c. 714, Pt. PP, §2 (AFF) .]